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Applicants: Francis G. Fang and Shiping Xie Serial No.: 09/903,101

JUN 2 1 2002

Filed 0 | Fals 11, 2001 Page 8

TECH CENTER 1600/2900

Remarks

Claims 3 were pending in the subject application. By this amendment, applicants have canceled claims 1 and 3, added new claim 4 and amended claim 2. Accordingly, claims 2 and 4 are currently pending.

Support for amended claim 2 can be found <u>inter alia</u> in the specification, as originally filed, on pages 3-6.

Support for new claim 4 can be found <u>inter alia</u> in the specification, as originally filed, on pages 3-6 and page 9.

Restriction Requirement

In the Restriction Requirement issued on May 8, 2002, the Examiner required restriction to one of the following allegedly distinct inventions as follows:

- Claim 1, drawn to a process of preparing compounds of formula I from formula II.
- II. Claim 3, drawn to compounds.
- III. Claim 2, drawn to compounds which are not encompassed by claim 3.

In response, applicants elect Group III, without traverse.

Applicants have amended claim 2, to remove the compounds of claim 3 from the scope of the claim. Applicants have also removed compounds of formulas (III) and (VI) from claim 2 and have moved compounds of formula (IV) to new claim 4.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number Applicants: Francis G. Fang and Shiping Xie Serial No.: 09/903,101

Filed : July 11, 2001

Page 9

provided below.

No fee is deemed necessary in connection with the filing of this Amendment and Response to Restriction Requirement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

hereby certify that this I nereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, DC 20231.

John P. White Reg. No. 28,678 Gary J. Gershik

Reg. No. 39,992

John P. White Registration No. 28,678

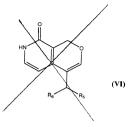
Gary J. Gershik Registration No. 39,992 Attorneys for Applicants Cooper & Dunham LLP

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2. (Amended) A compound of formulas (II), (III), (IV), or (VI):

$$R_3$$
 R_4
 R_5
 R_1
 R_5
 R_5
 R_1
 R_5
 R_5
 R_7
 R_8
 R_8
 R_9
 R_9



wherein:

 R_1 is selected from hydrogen, lower alkyl, (C_{37}) cycloalkyl, (C_{37}) cycloalkyl lower alkyl, lower alkyl, or alkoxy alkyl:

 R_{τ} and R_2 which may be the same or different, are independently is selected from hydrogen, lower alkyl, $(C_{3\tau})$ cycloalkyl, $(C_{3\tau})$ cycloalkyl lower alkyl, lower alkenyl, hydroxy lower alkyl, or alkoxy alkyl, or $(-CH_5NR_5R_6)$, wherein:

- i) R_7 and R_8 , which may be the same or different, are independently selected from hydrogen, lower alkyl, $(C_{3,7})$ cycloalkyl lower alkyl, lower alkenyl, hydroxy lower alkyl, or lower alkoxy lower alkyl; or
- ii) R_7 represents hydrogen, lower alkyl, $(C_{3\cdot7})$ cycloalkyl, $(C_{3\cdot7})$ cycloalkyl lower alkyl, lower alkyl, hydroxy lower alkyl, or lower alkoxy lower alkyl, and R_8 represents COR $_9$, wherein:

 R_{\circ} represents hydrogen, lower alkyl, perhalo-lower alkyl, (C_{3-7}) cycloalkyl, $(C_{3,7})$ cycloalkyl lower alkyl, lower alkenyl, hydroxy lower alkyl, lower

alkoxy, lower alkoxy lower alkyl; or

iii) R_7 represents hydrogen or lower alkyl; and R_8 represents diphenyl-methyl or $-(CH_2)$, Ar wherein:

t is 0 to 5 and Ar represents phenyl, furyl, pyridyl, N-methylpyrrolyl, imidazolyl optionally substituted with one or more substituents selected from hydroxy, methyl, halogen, and amino; or

iv) R₂ and R₈ taken together with the linking nitrogen form a saturated 3 to
 7 atom heterocyclic group of formula (IA)



wherein:

Y represents O, S, SO, SO₂, CH₂ or NR₁₀, wherein:

 $\rm R_{10}$ represents hydrogen, lower alkyl, perhalo lower alkyl, aryl, aryl substituted with one or more substituents selected from lower alkyl, lower alkoxy, halogen, nitro, amino, lower alkyl amino, perhalo-lower alkyl, hydroxy lower alkyl, lower alkoxy lower alkyl groups or – $\rm COR_{11}$, wherein:

 $\rm R_{11}$ represents hydrogen, lower alkyl, perhalo-lower alkyl, lower alkoxy, aryl, aryl substituted with one or more substituents selected from lower alkyl, perhalo-lower alkyl, hydroxy lower alkyl, lower alkoxy lower alkyl groups; $_{\rm cr}$

 R_3 and R_4 are independently selected from hydrogen, lower alkyl, (C_3,γ) cycloalkyl, (C_3,γ) cycloalkyl lower alkyl, lower alkenyl, hydroxy lower alkyl, or alkoxy alkyl; or R_3 and R_4 taken together form a saturated 5 to 6 atom heterocyclic group of formula (IB)

 R_{12} and $R_{13},$ which may be the same or different, are independently selected from hydrogen, a substituted or unsubstituted alkyl group with 1-4 carbon atoms or a substituted or unsubstituted carbocyclic or heterocyclic group, with the proviso that when both R_{12} and R_{13} are substituted or unsubstituted alkyl groups, they may be combined together with the nitrogen atom, to which they are bonded, to form a heterocyclic ring which may be interrupted with $-O^-, -S^-$ and/or $>N^-R_{14}$ in which R_{14} is hydrogen, a substituted or unsubstituted alkyl group with 1-4 carbon atoms or a substituted or unsubstituted phenyl group $_{a^+}$ and

 R_3 represents hydrogen or alkyl; and R_6 represents hydrogen or alkyl, and or a pharmaceutically acceptable salts thereof.

(New) A compound of formula (IV):

$$R_3$$
 R_4
 R_4
 R_6
 R_6
 R_6

(IV)

wherein:

X represents triflate or halo;

R₁ and R₂, which may be the same or different, are independently selected from hydrogen, lower alkyl, (C₃₋₇)cycloalkyl, (C₃₋₇)cycloalkyl lower alkyl, lower alkenyl, hydroxy lower alkyl, or alkoxy alkyl, or (- CH₂NR₂R₂), wherein:

 R₂,and R₃, which may be the same or different, are independently selected from hydrogen, lower alkyl, (C₃,-)cycloalkyl, (C₃-)cycloalkyl lower alkyl, lower alkenyl, hydroxy lower alkyl, or lower alkoxy lower alkyl; or

ii) R_3 represents hydrogen, lower alkyl, (C_3, j) eycloalkyl, (C_3, j) eycloalkyl lower alkyl, lower alkenyl, hydroxy lower alkyl, or lower alkoxy lower alkyl, and R_8 represents $-COR_{o_a}$

wherein:

 R_0 , represents hydrogen, lower alkyl, perhalo-lower alkyl, (C_{3^-7}) eyeloalkyl, $(C_{3,7})$ eyeloalkyl lower alkyl, lower alkyl, hydroxy lower alkyl, lower

alkoxy, lower alkoxy lower alkyl; or

iii) R₂ represents hydrogen or lower alkyl; and R₄ represents diphenyl-methyl or – (CH₂). Ar

wherein:

t is 0 to 5 and Ar represents phenyl, furyl, pyridyl, N-methylpyrrolyl, imidazolyl optionally substituted with one or more substituents selected from hydroxy, methyl, balogen, and amino; or

iv) R₇ and R₈ taken together with the linking nitrogen form a saturated 3 to 7 atom heterocyclic group of formula (IA)



wherein:

Y represents O, S, SO, SO₂, CH₂ or NR₁₀, wherein:

 R_{10} , represents hydrogen, lower alkyl, perhalo lower alkyl, aryl, aryl substituted with one or more substituents selected from lower alkyl, lower alkoxy, halogen, nitro, amino, lower alkyl amino, perhalo-lower alkyl, hydroxy lower alkyl, lower alkoxy lower alkyl groups or $- COR_{11}$.

wherein:

R₁₁, represents hydrogen, lower alkyl, perhalo-lower alkyl, lower alkoxy, aryl, aryl substituted with one or more substituents selected from lower alkyl, perhalo-lower alkyl, hydroxy lower alkyl, lower alkoxy lower alkyl groups;

MARKED-UP CLAIM PAGE 7

R3 represents -OCONR R13.

wherein,

 R_{12} and R_{13} , which may be the same or different, are independently selected from hydrogen, a substituted or unsubstituted alkyl group with 1-4 carbon atoms or a substituted or unsubstituted carbocyclic or heterocyclic group, with the proviso that when both R_{12} and R_{13} are substituted or unsubstituted alkyl groups, they may be combined together with the nitrogen atom, to which they are bonded, to form a heterocyclic ring which may be interrupted with $-O_-$, $-S_-$ and/or $-N_-R_{14}$ in which R_{14} is hydrogen, a substituted or unsubstituted alkyl group with 1-4 carbon atoms or a substituted or unsubstituted phenyl group;

 R_4 is selected from hydrogen, lower alkyl, $(C_3,2)$ eycloalkyl, $(C_3,2)$ cycloalkyl lower alkyl, lower alkyl, ower alkyl, or alkoxy alkyl;

R₅ represents hydrogen or alkyl; and
R₆ represents hydrogen or alkyl,
or a pharmaceutically acceptable salt thereof.